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Serial No: 09/722,955

Docket No: 1999-0797

MAY 05 2004

OFFICIAL

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Evan L. Goldstein et al

Serial No.: 09/722,955

Filed: 11/27/2000

Title: WAVELENGTH-ADD/DROP MULTIPLEXER WITH CLIENT  
CONFIGURABILITY

COMMISSIONER FOR PATENTS  
WASHINGTON D.C. 20231

SIR:

AMENDMENT

This in response to the Office action of 12/18/2003.

05/12/2004 JDOBBS 00000005 502186 09722955

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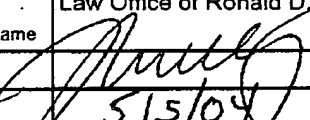
PTO/SB/21 (08-03)


Approved for use through 08/30/2003. OMB 0651-0031

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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<b>TRANSMITTAL FORM</b> (to be used for all correspondence after initial filing)	Application Number	09/722,955	
	Filing Date	11/27/2000	
	First Named Inventor	Evan L. Goldstein	
	Art Unit	2633	
	Examiner Name	Ngo, Hung Nhat	
Total Number of Pages in This Submission	20	Attorney Docket Number	1999-0797

ENCLOSURES (Check all that apply)		
<input checked="" type="checkbox"/> Fee Transmittal Form	<input type="checkbox"/> Drawing(s)	<input type="checkbox"/> After Allowance communication to Technology Center (TC)
<input type="checkbox"/> Fee Attached	<input type="checkbox"/> Licensing-related Papers	<input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences
<input checked="" type="checkbox"/> Amendment/Reply	<input type="checkbox"/> Petition	<input type="checkbox"/> Appeal Communication to TC (Appeal Notice, Brief, Reply Brief)
<input type="checkbox"/> After Final	<input type="checkbox"/> Petition to Convert to a Provisional Application	<input type="checkbox"/> Proprietary Information
<input type="checkbox"/> Affidavits/declaration(s)	<input type="checkbox"/> Power of Attorney, Revocation	<input type="checkbox"/> Status Letter
<input checked="" type="checkbox"/> Extension of Time Request	<input type="checkbox"/> Change of Correspondence Address	<input type="checkbox"/> Other Enclosure(s) (please identify below):
<input type="checkbox"/> Express Abandonment Request	<input type="checkbox"/> Terminal Disclaimer	
<input type="checkbox"/> Information Disclosure Statement	<input type="checkbox"/> Request for Refund	
<input type="checkbox"/> Certified Copy of Priority Document(s)	<input type="checkbox"/> CD, Number of CD(s) _____	
<input type="checkbox"/> Response to Missing Parts/Incomplete Application	Remarks	
<input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53	The Commissioner is authorized to charge any additional fee required to Deposit Account of Ronald D. Slusky, Attorney at Law, Account No. 502,186	
SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT		
Firm or individual name	Law Office of Ronald D. Slusky	
Signature		
Date	5/5/04	

CERTIFICATE OF TRANSMISSION/MAILING		
I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below.		
Typed or printed name	Ronald D. Slusky	
Signature		Date 5/5/04

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to 12 minutes to complete, including gathering, preparing and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

Patent Fees are subject to annual revision.

<b>Application Number</b>	09/722,955
<b>Filing Date</b>	11/27/2000
<b>First Named Inventor</b>	Evan L. Goldstein
<b>Examiner Name</b>	Ngo, Hung Nhat
<b>Group/Art Unit</b>	2633
<b>Attorney Docket No.</b>	1999-0797

TOTAL AMOUNT OF PAYMENT	\$54
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1. The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:

Deposit Account Number 502186

Deposit Account Name Ronald D. Slusky, Atty at Law

☒ Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17 ☐ Charge the Issue Fee Set in 37 CFR 1.18 at the Mailing Date of the Notice of Allowance

Large Fee Code	Entity Fee(\$)	Fee Description	Fee Paid
101	750	Utility(37CFR 1.53(b)) / CPA(37CFR 1.53(d)) Filing Fee	
106	330	Design Filing Fee	
108	740	Reissue Filing Fee	
114	160	Provisional Filing Fee	

**SUBTOTAL (1)**

☐ Filing Under 37CFR 1.53 (b)

☐ CPA Under 37CFR 1.53 (d)

☐ Amendment

			Extra Claims		Fee		Fee Paid
Tot	46	- 43 =	3	x	18	=	54
Ind.	11	- 17 =	0	x	86	=	0
Multiple Dependent Claims						=	54

### Multiple Dependent Claims

Large Fee Code	Entity Fee(\$)	Fee Description
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\*\* or number previously paid, if greater; for Reissues, see above

SUBTOTAL (2)	54
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Large Fee Code	Entity Fee(\$)	Fee Description	Fee Paid
105	130	Surcharge - late filing fee or oath	
127	50	Surcharge - late provisional filing fee or cover sheet	
139	130	Non-English specification	
147	2520	For filing a request for reexamination	
112*	920	Requesting publication of SIR prior to Examiner action	
113*	1840	Requesting publication of SIR after Examiner action	
115	110	Extension for reply within first month	
116	400	Extension for reply within second month	
117	920	Extension for reply within third month	
118	1440	Extension for reply within fourth month	
128	1960	Extension for reply within fifth month	
119	320	Notice of Appeal	
120	320	Filing a brief in support of an appeal	
121	280	Request for oral hearing	
165	300	Publication fee	
140	110	Petition to revive – unavoidable	
141	1280	Petition to revive – unintentional	
142	1280	Utility issue fee (or reissue)	
143	460	Design issue fee	
122	130	Petitions to the Commissioner	
123	50	Petitions related to provisional applications	
126	180	Submission of Information Disclosure Statement	
581	40	Recording each patent assignment per property (times number of properties)	
146	740	Filing a submission after final rejection (37 CFR 1.129(e))	
149	740	For each additional invention to be examined (37 CFR 1.129(b))	
179	740	Request for Continued Examination (RCE)	
169	900	Request for expedited exam of a design application	

Other fee (specify):

**SUBTOTAL(3)**

Complete (if applicable)

Typed or Printed Name	Ronald D. Slusky
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**Signature**

Date \_\_\_\_\_

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